

7/6/24 JFW



Attorney Docket No. 3001 P 009

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kenneth Kiron and Kevin S. Bander

Application No.: 09/579,801

Confirmation No.: 8044

Filed On: May 26, 2000

Examiner: S. Wasylchak

Art Unit: 3624

For: Open End Mutual Fund Securitization Process

Mail Stop Amendment

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

Dear Sir:

Transmitted herewith is an Amendment in the above-identified Application.

Applicants are a small entity. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply. The shortened statutory period of response was set to expire on November 26, 2004. Applicants believe that no extension of term is required.

However, this conditional petition is being made to provide for the possibility that Applicants have inadvertently overlooked the need for a petition for extension of time. The Commissioner is hereby authorized to charge any deficiencies in these fees to Deposit Account No. 23-0280. A duplicate copy of this sheet is attached.

No additional fee for the Amendment is required. The fee for the Amendment has been calculated as shown below:

					Small Entity		Other Than A Small Entity	
	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra		Rate	Additional Fee	Rate	Additional Fee
Total	44 -	45 =	0		x \$ 9=	\$0	x \$ 18=	
Independent	7 -	7 =	0		x \$ 44=	\$0	x \$ 88=	
<input type="checkbox"/> First Presentation of Multiple Dependent Claims					x \$ 150=	\$0	x \$ 300=	
					TOTAL ADDITIONAL FEE \$0			

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0280.


A duplicate copy of this sheet is attached.



Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra Claims.

Respectfully submitted,

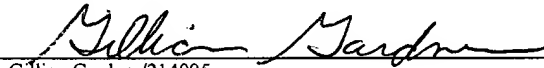
Date: November 24, 2004



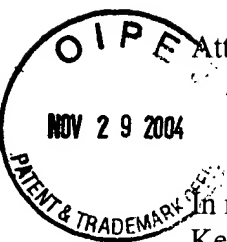
James P. Muraff, Reg. No. 39,785
Wallenstein Wagner & Rockey, Ltd.
311 South Wacker Drive, 53rd Floor
Chicago, Illinois 60606-6630
312.554.3300

CERTIFICATE UNDER (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on. November 24, 2004.



Gillian Gardner/214005



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REPLY TO OFFICE ACTION MAILED AUGUST 26, 2004

Dear Sir:

In response to the Office Action of August 26, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.